

01/22/01

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Law Offices Of

(970) 222-0446

DEAN P. EDMUNDSON, P.C.  
P. O. Box 710  
Windsor, Colorado 80550

Date: January 19, 2001

Case Docket No.: 353-05

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor: James R. Kahn et al.

For: IMPROVED APPARATUS FOR SPUTTER DEPOSITION

Enclosed are:

- ☒ 3 sheets of drawing.
- ☒ An assignment of the invention to Kaufman & Robinson, Inc.  
and assignment to Veeco Instruments Inc.
- ☐ A certified copy of a \_\_\_\_\_ application.
- ☐ An associate power of attorney.
- ☐ A verified statement to establish small entity status under  
37 CFR 1.9 and 37 CFR 1.27.

The filing fee has been calculated as shown below:

FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	17 - 20 =	0
INDEP CLAIMS	6 - 3 =	3
MULTIPLE DEPENDENT CLAIM PRESENTED		

SMALL ENTITY

RATE	FEE	OR
	\$ 355	OR
X 9 =	\$	OR
X 40 =	\$	OR
\$ 135	\$	OR
TOTAL	\$	OR

OTHER THAN A  
SMALL ENTITY

RATE	FEE
	\$ 710
X 18 =	\$ 0
X 80 =	\$ 240
\$ 270	\$ 270
TOTAL	\$ 1,220

A check in the amount of \$ 1,300.00 to cover the filing fee\* is enclosed.

\*and recording fees

Respectfully submitted,

*Dean P. Edmundson*  
Dean P. Edmundson  
Reg. No. 25,723  
Attorney for Applicant

01/19/01  
JC639 U.S. PTO

JC841 U.S. PTO  
09/766069  
01/19/01

09265069-044904

Please type a plus sign (+) inside this box → ☐

Approved for use through  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/xx (6-95)  
OMB 0651-0032

0002/PTO  
Rev. 6/95

U.S. Department of Commerce  
Patent and Trademark Office

# NEW UTILITY PATENT APPLICATION TRANSMITTAL

(to be used for new applications only)

Attorney Docket Number

353-05

First Named Inventor

Kahn

Total Pages in this Submission

40

PTO  
JAN 19 2001  
09/166069

01/19/01

## APPLICATION ELEMENTS

Notice: Checklist items mentioned under Application Elements section construct a new utility patent application. Please refer to MPEP Sections 506, 601, (37CFR 1.77, 1.53, 35 USC 111, 112, 113) for detailed explanation regarding completeness of an original patent application.

1. ☒ Fee Transmittal Form (prescribed filing fee(s))

2. Specification

☒ Abstract of the Disclosure

☒ Title of the Invention

☒ Cross References to Related Applications  
(if applicable)

☐ Statement Regarding Federally-sponsored  
Research/Development (if applicable)

☐ Reference to Microfiche Appendix  
(if applicable)

☒ Background of the Invention

☒ Brief Summary of the Invention

☒ Brief Description of the Drawings  
(if drawings filed)

☒ Detailed Description

☒ Claim or Claims

3. ☒ Drawing(s) (when necessary as prescribed by  
35 USC 113)

4. ☒ Executed Declaration

5. Genetic Sequence Submission  
(if applicable, all must be included)

☐ Paper Copy

☐ Computer Readable Copy

☐ Statement Verifying Identical Paper and  
Computer Readable Copy

## ACCOMPANYING APPLICATION PARTS

6. ☒ Assignment Papers

7. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)

8. ☐ Computer Program in Microfiche

9. ☐ English Translation Document (if applicable)

10. ☐ Information Disclosure Statement/VPTO-1449 ☐ Copies of IDS  
Citations

11. ☐ Petition Checklist and Accompanying Petition

12. ☐ Preliminary Amendment

13. ☐ Proprietary Information

14. ☒ Return Receipt Postcard

15. ☐ Small Entity Statement

16. ☐ Additional Enclosures (please identify below):

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm  
or  
Individual name

Dean P. Edmundson

Signature

*Dean P. Edmundson*

Date

January 19, 2001

## FOR OFFICIAL USE ONLY

Application Number		Class		Independent Claims	
Date of Receipt	Application Type		GAU	Total Claims	
	Filing Date		Foreign Filing License?	Drawing Sheets	
	Small Entity		Foreign Address?	Special Handling?	

Burden Hour Statement: This form is estimated to take .2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231, and to the Office of Information and Regulatory Affairs, Office of Management and Budget (Project 0651-0032), Washington DC 20503. DO NOT SEND FEES OR

002/PTO  
cv, 6/95U.S. Department of Commerce  
Patent and Trademark Office

## FEE TRANSMITTAL

Complete if Known

TOTAL AMOUNT OF PAYMENT (\$)  
1,300.00

Application Number	
Filing Date	
First Named Inventor	Kahn
Group Art Unit	
Examiner Name	
Attorney Docket Number	353-05

## METHOD OF PAYMENT (check one)

1 ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number

Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Charge the Issue Fee Set in 37 CFR 1.16 at the Mailing of the Notice of Allowance, 37 CFR 1.311(b)

2 ☒ Payment Enclosed:

☒ Check

☐ Money Order

☐ Other

(reserved space)

## FEE CALCULATION (fees effective 1/1/96)

## 1 FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	700	201	350	Utility filing	710
106	300	206	150	Design filing fee	
107	540	207	270	Plant filing fee	
108	700	208	350	Reissue filing fee	
SUBTOTAL (1)					(\$) 710

## 2 CLAIMS

Total Claims 17 - 20 = 0 ☒ 0

Independent Claims 6 - 3 = 3 ☒ 80 = 240

Multiple Dependent Claims ☒ yes = 270

## Large Entity - Small Entity

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
102	78	202	39	Independent claims in excess of 3
103	22	203	11	Claims in excess of 20
104	250	204	125	Multiple dependent claim
109	70	209	35	Reissue independent claims over original patent
110	22	210	11	Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)  
510

## 3 ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
105	130	205	65	Surcharge-late filing fee or oath	
109	130	130	130	Non-English specification	
147	2,390	147	2,390	For filing a request for reexamination	
112	870	112	870	Requesting publication of SIR prior to Examiner action	
113	1,740	113	1,740	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for response within first month	
116	380	216	190	Extension for response within second month	
117	900	217	450	Extension for response within third month	
118	1,400	218	700	Extension for response within fourth month	
119	290	219	145	Notice of Appeal	
120	290	220	145	Filing a brief in support of an appeal	
121	250	221	125	Request for oral hearing	
138	1,430	138	1,430	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive unavoidably abandoned application	
141	1,250	241	625	Petition to revive unintentionally abandoned application	
142	1,200	242	640	Utility issue fee (or reissue)	
143	420	243	210	Design issue fee	
144	660	244	330	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
126	220	126	220	Submission of Information Disclosure Stmt	
501	40	501	40	Recording each patent assignment per property (x no. properties)	80
?	220	?	220	Protest after application published	
?	1500	?	1500	Late Claim for priority during pendency	
114	150	214	75	Provisional Application filing	

Other fee (specify) \_\_\_\_\_

Other fee (specify) \_\_\_\_\_

SUBTOTAL (3) (\$)  
80

## SUBMITTED BY

Typed or Printed Name  
Dean P. EdmundsonSignature  
*Dean P. Edmundson*Date  
1/19/01

## Complete (if applicable)

Reg. Number  
25723Deposit Account  
User ID